

● PRINTER RUSH ●
(PTO ASSISTANCE)

Application : 10017814

Examiner : Cangialos; GAU : 3621

From: J. Black

Location: IDC FMF FDC Date: 12/5/05

Tracking #: epm 10017814 Week Date: 9/12/05

DOC CODE	DOC DATE	MISCELLANEOUS
<input type="checkbox"/> 1449	<hr/>	<input type="checkbox"/> Continuing Data
<input type="checkbox"/> IDS	<hr/>	<input type="checkbox"/> Foreign Priority
<input type="checkbox"/> CLM	<hr/>	<input type="checkbox"/> Document Legibility
<input type="checkbox"/> IIFW	<hr/>	<input type="checkbox"/> Fees
<input type="checkbox"/> SRFW	<hr/>	<input type="checkbox"/> Other
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<input checked="" type="checkbox"/> OATH	<u>12/14/01</u>	
<input type="checkbox"/> 312	<hr/>	
<input type="checkbox"/> SPEC	<hr/>	

[RUSH] MESSAGE:

Signatures of all inventors is missing from the oath/declaration

Please resub.

[XRUSH] RESPONSE:

see misc comm

DONE

INITIALS: BB

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.

REV 10/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Asit Dan, et al.

Document No.: Y0R920010775US1

Serial No.: 10/017,814

Group Art Unit: 3621

Filed: December 14, 2001

Confirmation No.: 5023

For: Electronic Contracts with Primary and Sponsored Roles

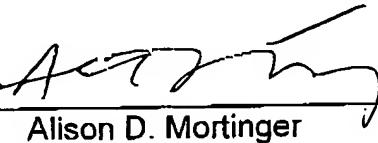
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Publication Division (703) 308-6642
Attn: Rori Burch

**CERTIFICATION OF FACSIMILE TRANSMISSION
PURSUANT TO 37 CFR 1.8**

I hereby certify that the following papers are being facsimile transmitted under 37 CFR Rule 1.6(d) to the U.S. Patent and Trademark Office ((703) 308-6642 on January 10, 2006:

1. Response to Notice to File Corrected Application Papers
2. Copy of Missing Parts Response sent to USPTO dated March 18, 2002
3. Signed Declaration and Power of Attorney (2) pages
4. Copy of the Postcard from the PTO acknowledging receipt
5. Notice to File Corrected Application Papers

Dated: January 10, 2006



Alison D. Mortinger

Reg. No. 39,306

Telephone: 914-945-3158

PLEASE FAX CONFIRMATION TO: Fax: 914-945-3281

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Asit Dan, et al. Document No.: Y0R920010775US1
Serial No.: 10/017,814 Group Art Unit: 3621
Filed: December 14, 2001 Confirmation No.: 5023
For: Electronic Contracts with Primary and Sponsored Roles

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected Application Papers, dated December 27, 2005, enclosed at this time are (1) a copy of the notice, (2) a copy of the papers sent on March 18th, 2002 including (a) the Response to Missing Parts, (b) the declaration with signatures of the four inventors, and (c) a copy of the acknowledgement postcard stamped March 25th, 2002.

Applicants do not believe any fee is necessary, however, authorization is granted to charge any necessary fees to Deposit Account 09-0468.

If anything else is needed to place this application in condition for issuance, please feel free to contact me directly; I am in the office on Tuesdays, Wednesdays, and Thursdays.

Respectfully submitted,



Alison D. Mortinger
Reg. No. 39,306
Telephone: 914-945-3158

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Customer Service Center
Asit Dan et al. : Initial Patent Examination
Division
Serial No.: 10/017,814 : Date: March 18, 2002
Confirmation No. 5023 :
Filed: December 14, 2001 : Docket No.: YOR920010775US1

For: ELECTRONIC CONTRACTS WITH PRIMARY AND SPONSORED ROLES

To: Commissioner for Patents
Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Customer Service Center
Initial Patent Examination Division
Washington, DC 20231

on March 18, 2002

Michelle Parra
(Print name of person certifying)

Michelle Parra
(Signature of person certifying)

Docket YOR920010775US1

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of : Customer Service Center
Asit Dan et al. : Initial Patent Examination
: Division
Serial No.: 10/017,814 : Date: March 18, 2002
Confirmation No. 5023 :
Filed: December 14, 2001 : Docket No.: YOR920010775US1

For: ELECTRONIC CONTRACTS WITH PRIMARY AND SPONSORED ROLES

**FILING OF MISSING PARTS OF NONPROVISIONAL APPLICATION
FILING DECLARATION AND POWER OF ATTORNEY
FILING ASSIGNMENT**

To: Commissioner for Patents
Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

Sir:

On December 14, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the four (4) inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

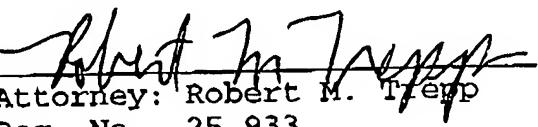
Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit

Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted", date mailed January 17, 2002, is also attached as per your instructions.

Respectfully submitted,
Attorney for the Applicants

by 
Attorney: Robert M. Trepp
Reg. No. 25,933
Tel. (914) 945-3147

IBM Thomas J. Watson Research Center
Intellectual Property Law Department
P.O. Box 218
Yorktown Heights, N.Y. 10598

Attorney Docket: YOR920010775US1

Docket No. Y08520010775US1DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATIONGautam KarFull name of second joint-inventor, if anyGautam Kar12/14/01

Date

211 Tara Court, Yorktown Heights, New York 10598ResidenceUSACitizenshipSame as abovePost Office AddressAlexander KellerFull name of third joint inventor, if anyA. Keller12/17/01

Date

Inventor's Signature746 Mamaroneck Avenue, Apt. 1414, Mamaroneck, New York 10543ResidenceGermanyCitizenshipSame as abovePost Office AddressHeiko Harry LudwigFull name of fourth joint-inventor, if anyHeiko Harry Ludwig12/14/2001

Date

235 W 102nd Street, Apt. 10 O, New York, New York 10025ResidenceGermanyCitizenshipSame as abovePost Office AddressFull name of fifth joint inventor, if anyInventor's Signature

Date

ResidenceCitizenshipSame as abovePost Office AddressFull name of sixth joint-inventor, if anyInventor's Signature

Date

ResidenceCitizenshipSame as abovePost Office Address



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,814	12/14/2001	Asit Dan	YOR920010775US1

CONFIRMATION NO. 5023

FORMALITIES LETTER



OC000000007326052*

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 01/17/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Bev T r

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Filing; Certificate of Mailing; Filing of Missing Parts of Application (in duplicate); Declaration and Power of Attorney Form; Notice to File Missing Parts of Nonprovisional Application (return copy); and Assignment to IBM with attached Recordation Form.

In Re application of: Asit Dan et al.
For: ELECTRONIC CONTRACTS WITH PRIMARY AND
SPONSORED ROLES

Serial No.: 10/017,814 Confirmation No.: 5023
Docket No.: YOR920010775US1
Attorney: GHZ

All fees are charged to our Account No. 09-0468
Date of Deposit: March 18, 2002

Filing; Certificate of Mailing; Filing of Missing Parts of Application (in duplicate); Declaration and Power of Attorney Form; Notice to File Missing Parts of Nonprovisional Application (return copy); and Assignment to IBM with attached Recordation Form.

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Serial No.: 10/017,814 Confirmation No.: 5023
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Attorney: GHZ

All fees are charged to our Account No. 09-0468
Date of Deposit: March 18, 2002

BEST AVAILABLE COPY

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Serial Number
10017814

Date Mailed
12/27/05

NOTICE TO FILE CORRECTED APPLICATION PAPERS***Notice of Allowance Mailed***

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

- ♦ Inventor's signatures are missing from the oath/declaration/ADS. No petition under Rule 1.47 filed. (DAN, ASIT, KAR, GAUTAM, KELLER, ALEXANDER, LUDWIG, HEIKO HARY) Fax missing information to number below or e-mail.
 - For status updates visit <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR System, contact the Electronic Business Center (EBC) toll free at 866-217-9197.

APPLICANT MUST SUPPLY MISSING INFORMATION WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.

A copy of this notice MUST be returned with the reply. Please address response to Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Rori Burch
USPTO
Publishing Division
Rori.burch@uspto.gov
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Fax (703) 308-6642
703-305-0333 ext.135 (V)

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